APPENDIX 1: Export Control Decision Tree in 15 CFR Part 732, Supp. 1 (see BIS Decision Tree Tools on the BIS website)

- Is the equipment, software or tech involved in research designed for, or can it be modified for military or outer space use; or used in WMD?
  - YES
  - NO

- Is there 64-bit encryption source code or mass-market encryption products?
  - YES
  - NO

- Research involve exposure 2 foreign nationals in US; or transfer of goods, services, info tech abroad; or travel abroad?
  - YES
  - NO

- Is it possible info/software released by research will be used in WMD?
  - YES
  - NO

- Research involved equipment, tech, or software covered by USML/CCL?
  - YES
  - NO

- Research involve providing tech info/instructions for equipment, tech or software on USML/CCL?
  - YES
  - NO

- Is research basic/applied in science, math, engineering @ IHE in US & ordinarily published or shared?
  - YES
  - NO

- Are results restricted from publication or by US Gov't. access or dissemination controlled?
  - YES
  - NO

- Are results of my research in published/ gen. accessible 2 public? (conferences, library, etc.?)
  - YES
  - NO

FRE applies.

STOP.
Export Controls may apply. Call ORA.
APPENDIX 2: Subject to EAR?
See 15 CFR 732, Supp. 1

Is item/tech subject to EAR? (CCL)

NO

Exit EAR.

Y

ECCN?

YES

Is your item found on the CCL?

NO

EAR99?

YES

Do Gen. Prohibitions 4-10 apply?

NO

On the Country chart & CCL?

YES

NO

Is License Exception available?

YES

Use License Exception

NO

Submit license application via ORA

NO

YES

NO

YES

No License Required (NLR)

YES

O 2

YES

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